



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JEREMY ROSENBERG *et al.*

Serial No.: 09/800,956

Filed: March 8, 2001

For: **PERSONALIZED AUDIO  
SYSTEM AND METHOD**

Art Unit: 2644

Examiner: *Not Assigned*

The Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF SUBSTITUTE DRAWINGS**

Dear Sir:

Submitted herewith are twenty-eight (28) pages of substitute drawings in the above-identified Patent Application. The drawings are now in compliance with 37 C.F.R. 1.84.

Respectfully submitted,

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY, AND POPEO PC

A handwritten signature in black ink, appearing to read "B. C. Blaise".

Bradford C. Blaise  
Registration No. 47,429  
Brian S. Rosenbloom  
Registration No. 41,276

Date: July 19, 2001  
11911 Freedom Drive, Suite 400  
Reston, Virginia 20190  
(703) 464-4810

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,956	03/08/2001	Jeremy Rosenberg	14688-505

CONFIRMATION NO. 2142

## FORMALITIES LETTER



\*OC000000006100667\*

MINTZ LEVIN COHN FERRIS GLOVSKY AND POPEO PC  
Suite 400  
11911 Freedom Drive  
Reston, VA 20190

Date Mailed: 05/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/20/2001 BSAYASI1 00000046 09800956

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:102	240.00 OP
03 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$120.
  - \$120 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 540.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

*Shain*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

106740-95600350